

## MEMS-specific fabrication

- Bulk micromachining
- Surface micromachining
- Deep reactive ion etching (DRIE)
- Other materials/processes

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## Bulk, Surface, DRIE

- Bulk micromachining involves removing material from the silicon wafer itself
  - Typically wet etched
  - Traditional MEMS industry
  - Artistic design, inexpensive equipment
  - Issues with IC compatibility
- Surface micromachining leaves the wafer untouched, but adds/removes additional layers above the wafer surface, First widely used in 1990s
  - Typically plasma etched
  - IC-like design philosophy, relatively expensive equipment
  - Different issues with IC compatibility
- Deep Reactive Ion Etch (DRIE) removes substrate but looks like surface micromachining!

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## Bulk Micromachining

- Many liquid etchants demonstrate dramatic etch rate differences in different crystal directions
  - $\langle 111 \rangle$  etch rate is slowest,  $\langle 100 \rangle$  and  $\langle 110 \rangle$  fastest
  - Fastest:slowest can be more than 400:1
  - KOH, EDP, TMAH most common anisotropic silicon etchants
- Isotropic silicon etchants
  - HNA
    - HF, nitric, and acetic acids
    - Lots of neat features, tough to work with
  - $\text{XeF}_2$ ,  $\text{BrF}_3$ 
    - gas phase, gentle
    - Xactix, STS selling research & production equipment

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## KOH Etching

- Etches PR and Aluminum instantly
- Masks:
  - $\text{SiO}_2$ 
    - compressive
  - SixNy
    - tensile
  - Parylene!
  - Au?

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## Crystal Planes & Miller Indices

- [abc] in a cubic crystal is just a direction vector
- (abc) is any plane perpendicular to the [abc] vector
- (...)/[...] indicate a specific plane/direction
- {...}/<...> indicate equivalent planes/direction

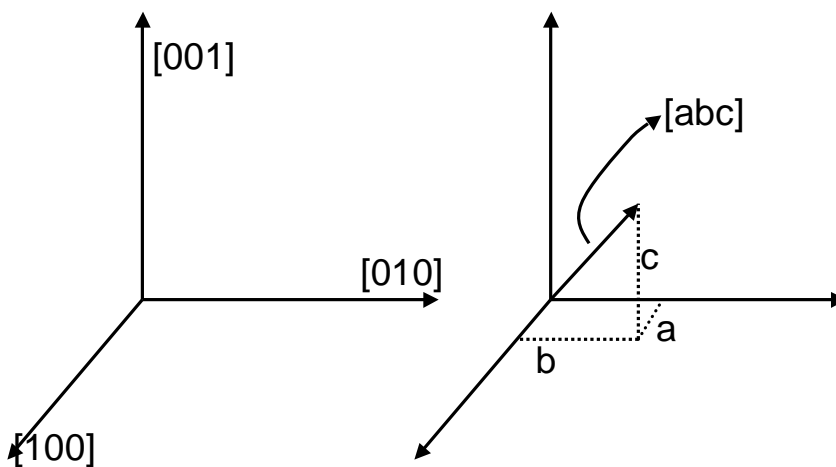
Angles between directions can be determined by scalar product: the angle between [abc] and [xyz] is given by  $ax+by+cz = |(a,b,c)| \cdot |(x,y,z)| \cdot \cos(\theta)$

e.g.:  $\theta_{(100),(111)} = \cos^{-1}((1+0+0)/(1)(\sqrt{3}))$

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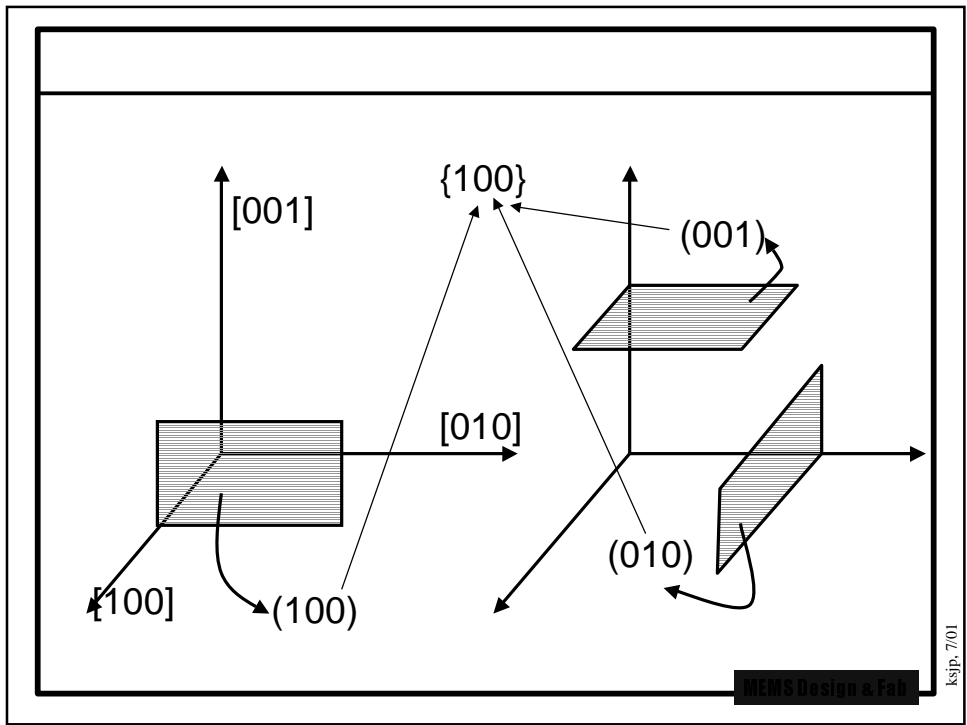
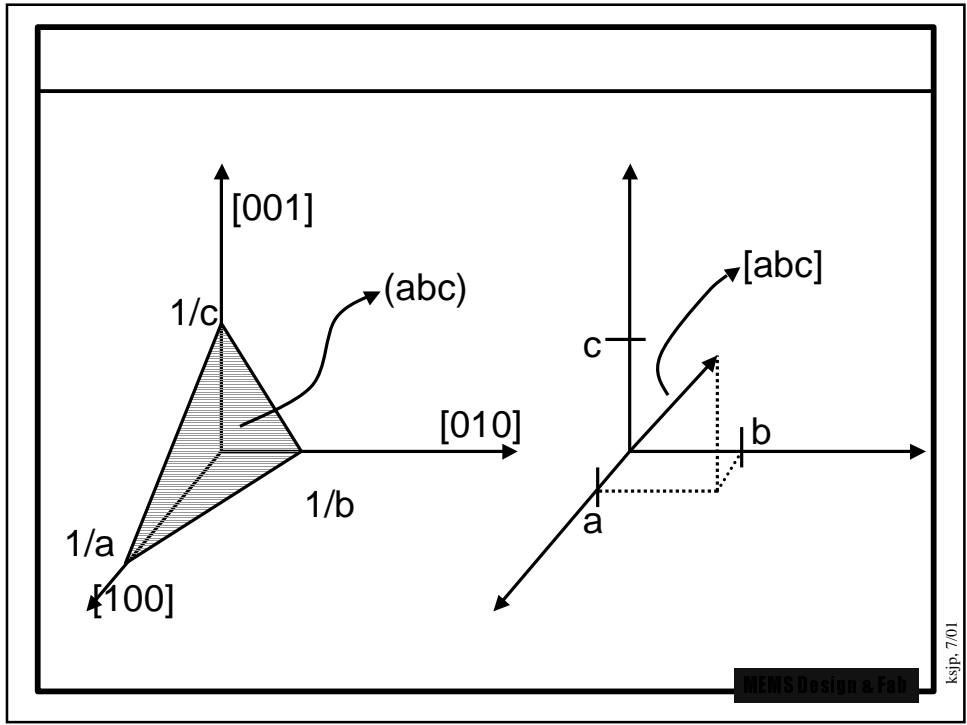
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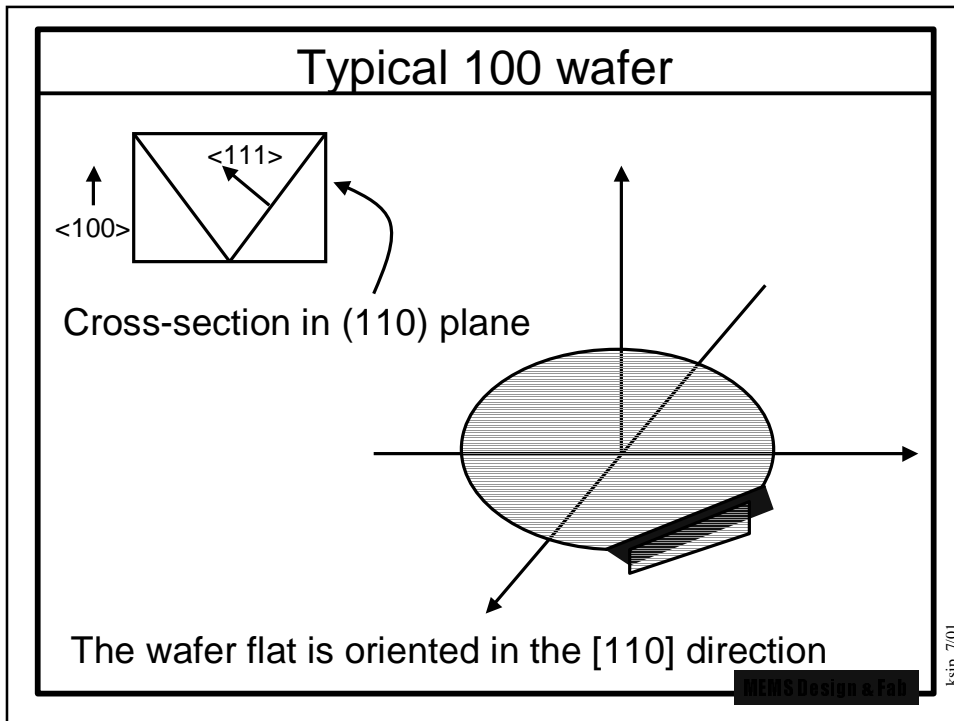
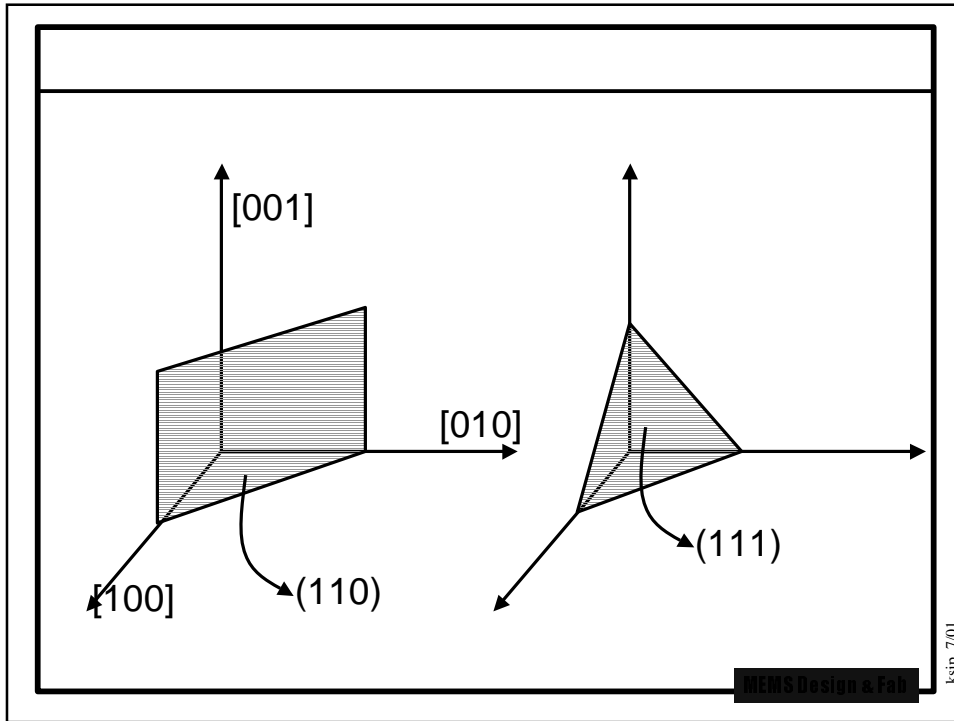
## Miller indices

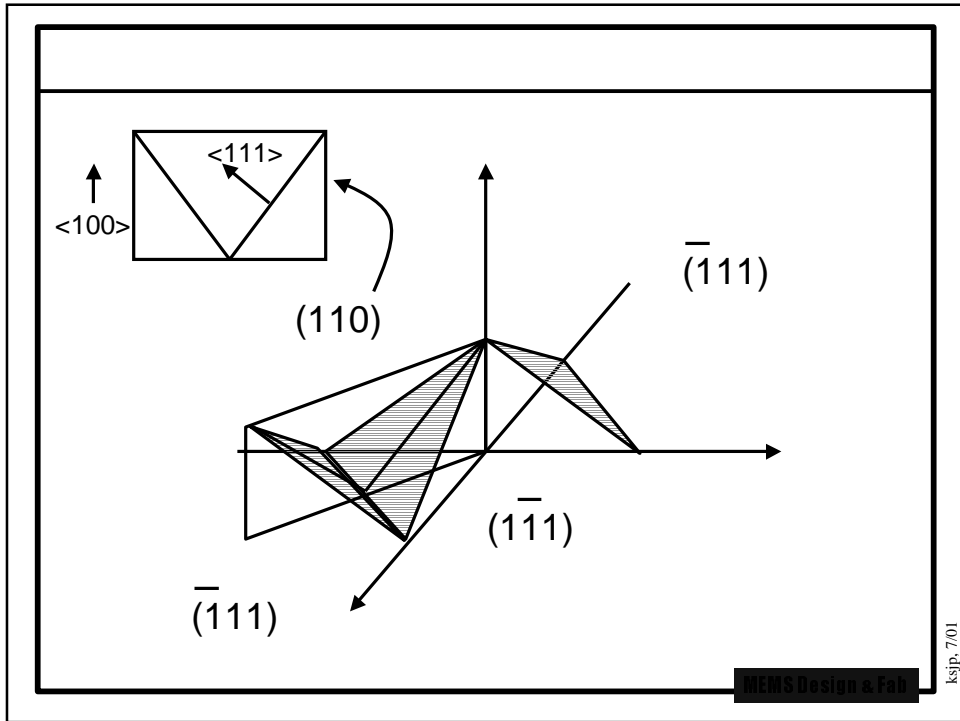


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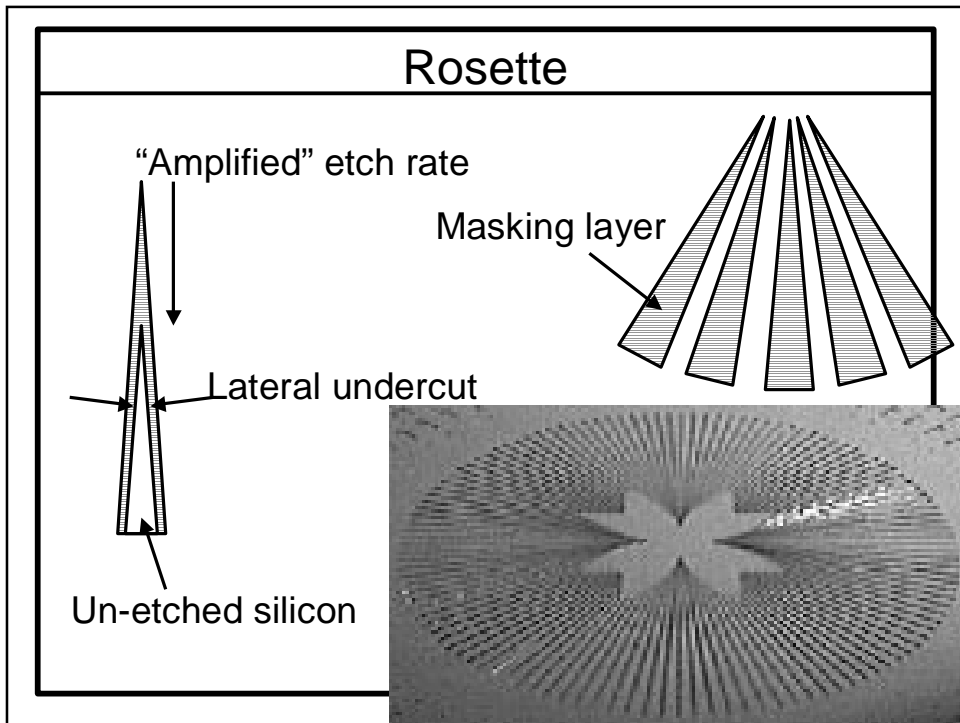
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**Etching Si+Boron**  
 Etch rate in KOH @ 85°C  
 (100) 1.8-2.0 μm/hr  
 (110) 0.5-0.7 μm/hr  
 (111) 0.0014 μm/hr  
 Si<sub>3</sub>N<sub>4</sub> not etched

**Etch rate in EDP**  
 100 μm Etch rate in EDP  
 100 μm Etch rate in EDP  
 (100) 0.75 μm/hr  
 (110) 0.0002 μm/hr  
 Si<sub>3</sub>N<sub>4</sub> 0.0002 μm/hr

Available via anisotropic etch in packaged chips

Single crystal silicon  
<sup>28</sup>Si  
 density 2.33 g/cm<sup>3</sup>  
 atomic weight 28.0855 amu  
 lattice constant 0.357 nm  
 lattice parameter a<sub>1</sub> = a<sub>2</sub> = a<sub>3</sub> = 0.357 nm  
 lattice parameter b<sub>1</sub> = b<sub>2</sub> = b<sub>3</sub> = 90°  
 lattice parameter c<sub>1</sub> = c<sub>2</sub> = c<sub>3</sub> = 90°  
 Young's modulus E = 165.7 GPa  
 Poisson's ratio ν = 0.17  
 thermal expansion α = 2.6 × 10<sup>-6</sup> /K  
 thermal conductivity κ = 149 W/mK  
 thermal capacity C<sub>p</sub> = 0.7 J/gK  
 band gap E<sub>g</sub> = 1.12 eV  
 electron affinity χ = 4.05 eV

The idea for this shape came from a similar paper model that I saw once. I don't know who made that one. Perhaps Monsanto? Most of the data comes from "Etching of Silicon as a Mechanical Process" by Sze (IEEE, pp. 430-457). Other data from "VI SI Technology", edited by Sze (McGraw-Hill) and "Solid State Electronic Devices", by Streetman (Prentice-Hall).

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## Anisotropic Etching of Silicon

- Anisotropic etches have direction dependent etch rates in crystals
- Typically the etch rates are slower perpendicularly to the crystalline planes with the highest density
- Commonly used anisotropic etches in silicon include Potassium Hydroxide (KOH), Tetramethyl Ammonium Hydroxide (TMAH), and Ethylene Diamine Pyrochatecol (EDP)

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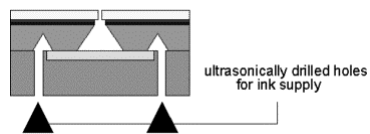
## Etch stops in anisotropic silicon etching

- Electrochemical etch stop
- High boron doping ( $\sim 1 \text{e}20/\text{cm}$ )

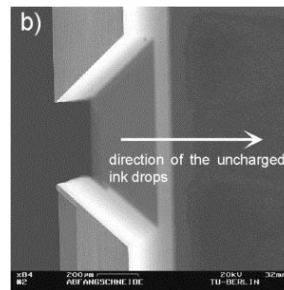
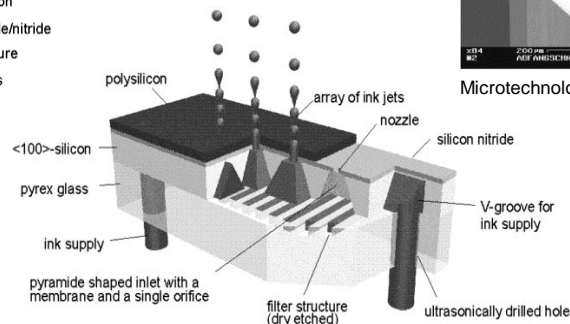
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## Micromachining Ink Jet Nozzles



- polysilicon
- <100>-silicon
- silicon oxide/nitride
- filter structure
- pyrex glass



Microtechnology group, TU Berlin

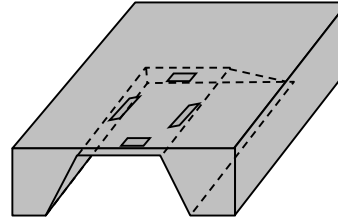
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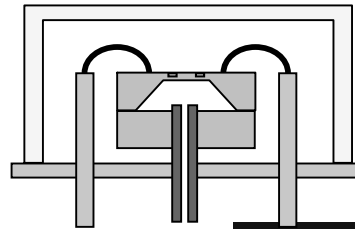


## Bulk Micromachining

- Anisotropic etching allows very precise machining of silicon
- Silicon also exhibit a strong piezoresistive effect
- These properties, combined with silicon's exceptional mechanical characteristics, and well-developed manufacturing base, make silicon the ideal material for precision sensors
- Pressure sensors and accelerometers were the first to be developed



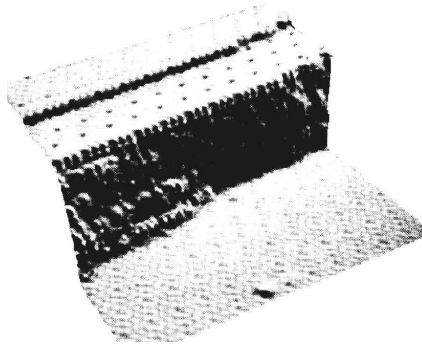
Silicon pressure sensor chip



Packaged pressure sensor

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## KOH etching: atomic view

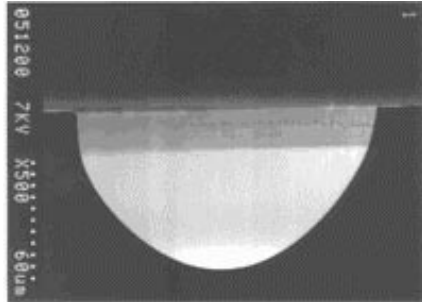
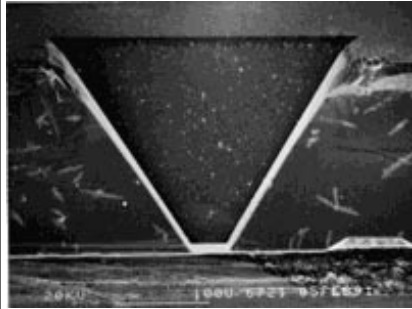


STM image of a (111) face with a  $\sim 10$  atom step. From Weisendanger, et al., *Scanning tunnelling microscopy study of  $Si(111)7 \times 7$  in the presence of multiple-step edges*, Europhysics Letters, 12, 57 (1990).

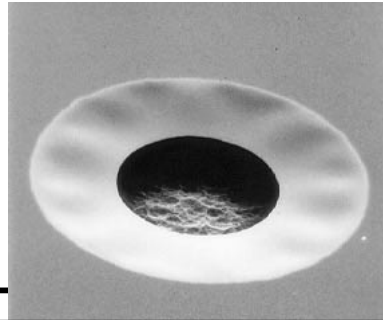
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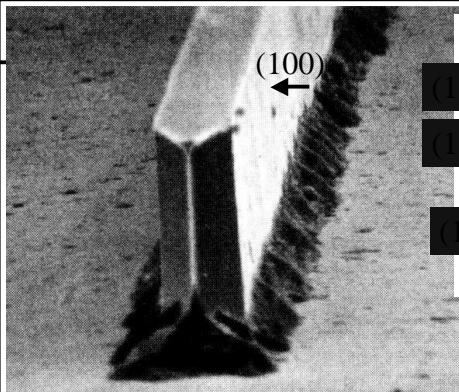
## Bulk micromachined cavities



- Anisotropic KOH etch (Upperleft)
- Isotropic plasma etch (upper right)
- Isotropic BrF3 etch with compressive oxide still showing (lower right)

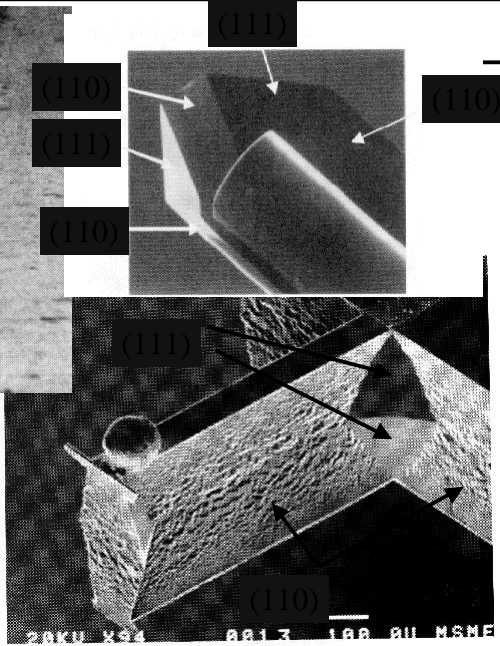


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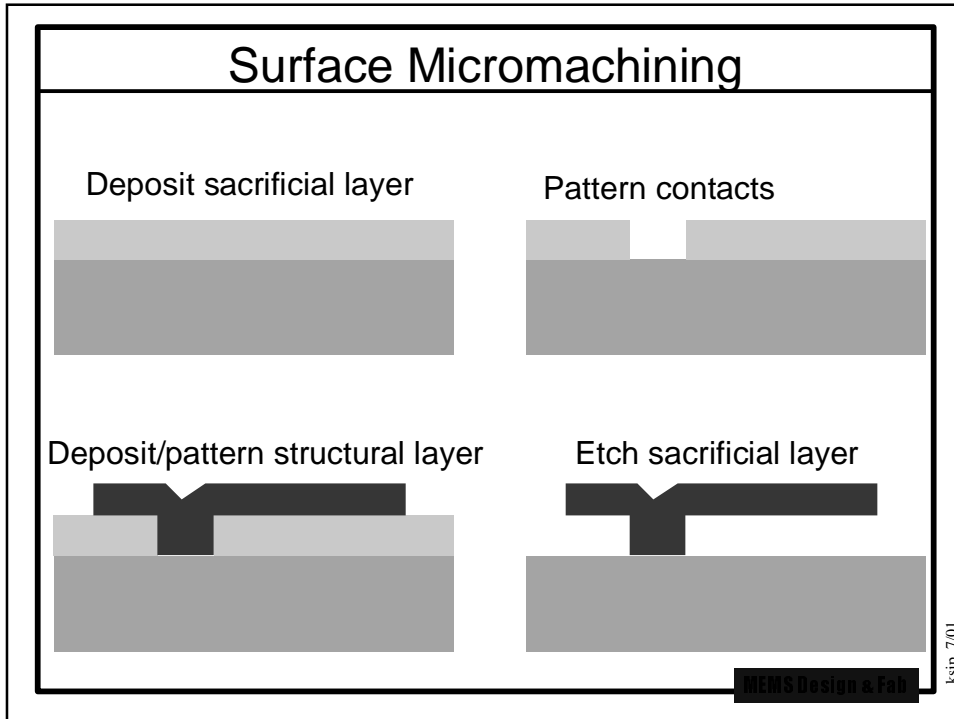


## Clever KOH etching of (100)

Clockwise from above:  
Ternez; Rosengren; Keller



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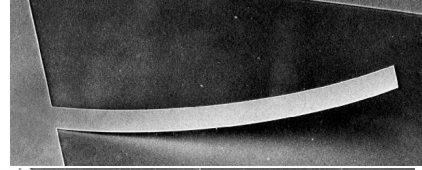


### Surface micromachining material systems

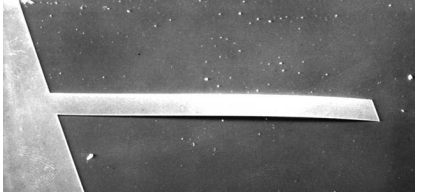
Structure/	sacrificial/	etchant
• Polysilicon/	Silicon dioxide/	HF
• Silicon dioxide/	polysilicon/	XeF2
• Aluminum/	photoresist/	oxygen plasma
• Photoresist/	aluminum/	Al etch
• Aluminum/	SCS	EDP, TMAH, XeF2
• Poly-SiGe	poly-SiGe	DI water

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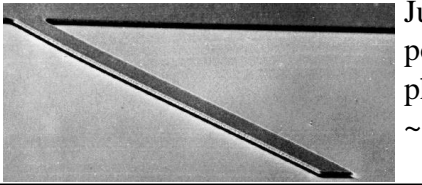
## Residual stress gradients



More tensile on top



More compressive on top

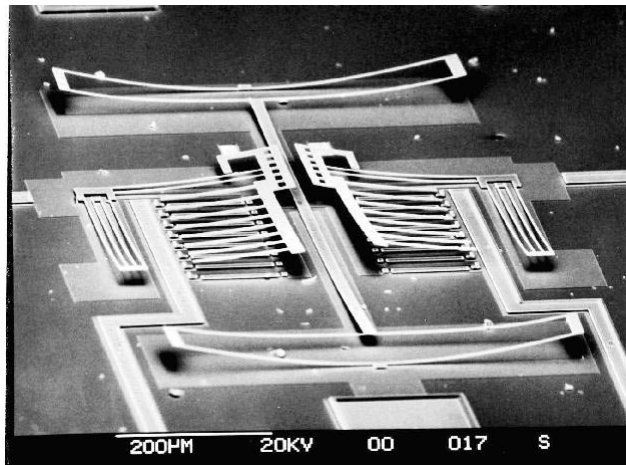


Just right! The bottom line: anneal poly between oxides with similar phosphorous content. ~1000C for ~60 seconds is enough.

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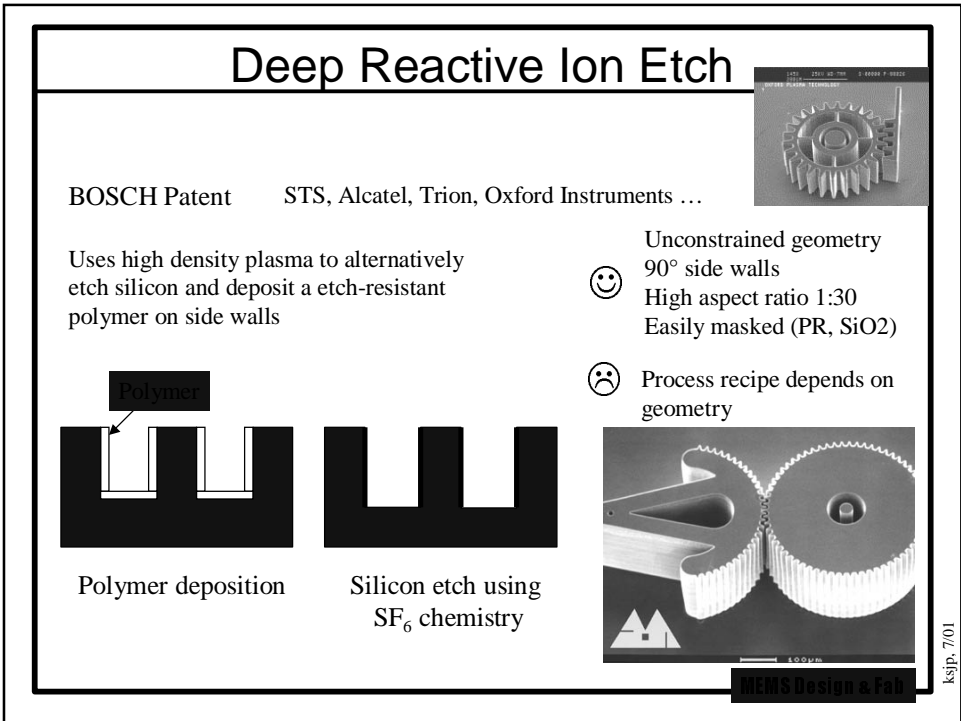
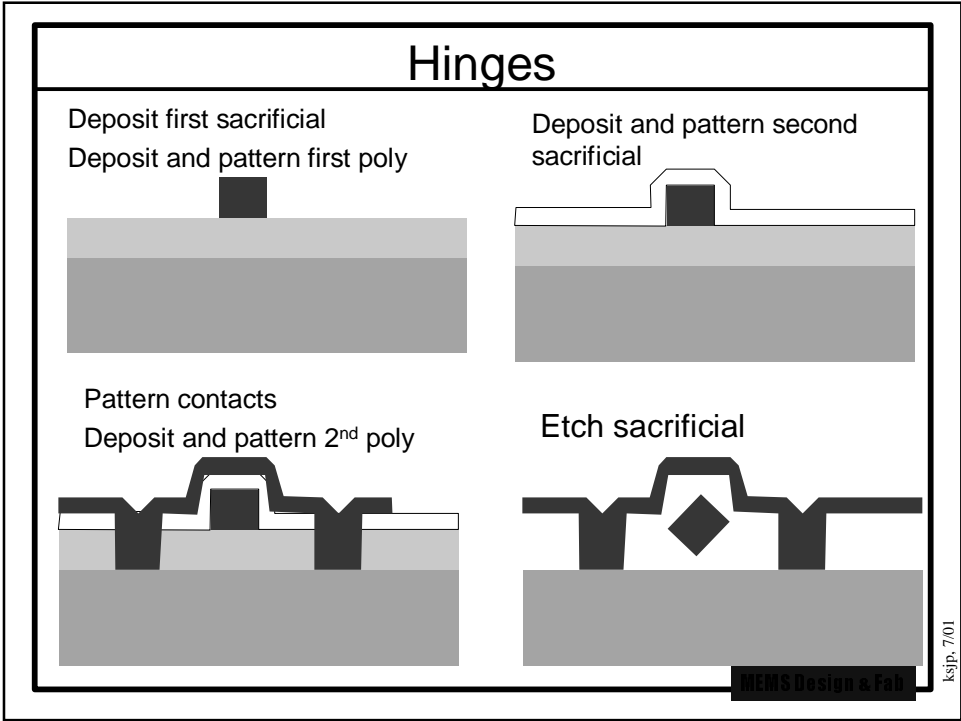
## Residual stress gradients



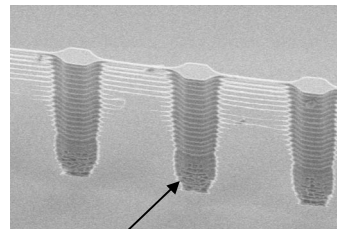
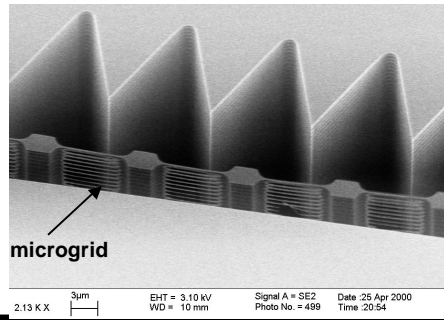
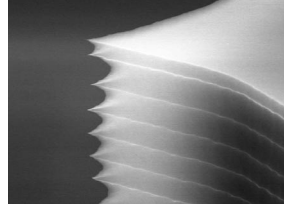
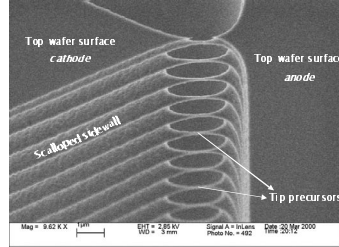
A bad day at MCNC (1996).

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## Scalloping and Footing issues of DRIE



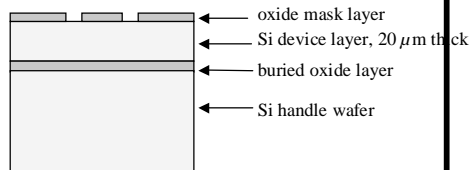
Footing at the bottom of device layer

Milanovic et al, IEEE TED, Jan. 2001.

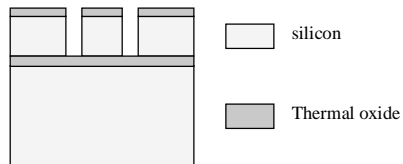
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## Typical simple SOI-MEMS Process

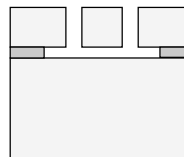
1) Begin with a bonded SOI wafer. Grow and etch a thin thermal oxide layer to act as a mask for the silicon etch.



2) Etch the silicon device layer to expose the buried oxide layer.



3) Etch the buried oxide layer in buffered HF to release free-standing structures.

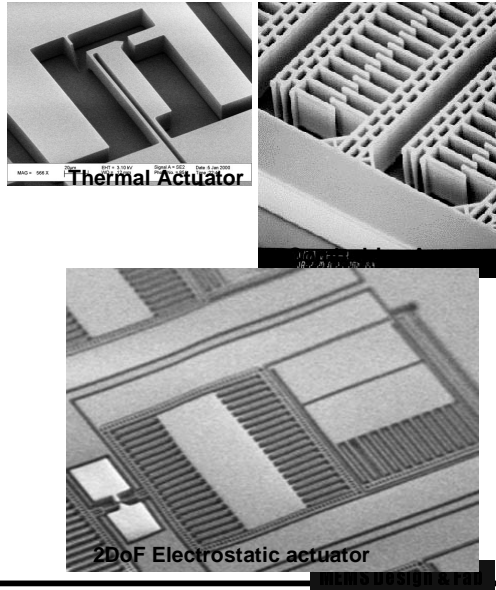


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## DRIE structures

- Increased capacitance for actuation and sensing
- Low-stress structures
  - single-crystal Si only structural material
- Highly stiff in vertical direction
  - isolation of motion to wafer plane
  - flat, robust structures

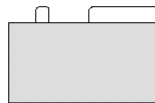


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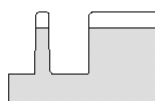
## SCREAM fab flow

### SCREAM Bulk-Micromachining

Step 1:  
• Deposit & pattern mask oxide



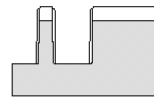
Step 2:  
• Deep silicon etch (RIE)  
• Use oxide as mask



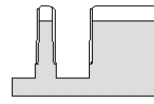
Step 3:  
• Deposit "sidewall" oxide (CVD)



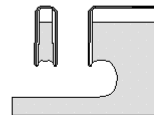
Step 4:  
• Etchback sidewall oxide (RIE)



Step 5:  
• Second deep silicon etch (RIE)



Step 6:  
• Release structures with isotropic silicon etch (RIE)  
• Sputter metal



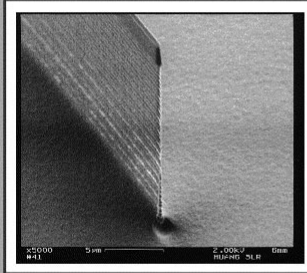
K. A. Shaw, Z. L. Zhang and N. C. MacDonald

Cornell University

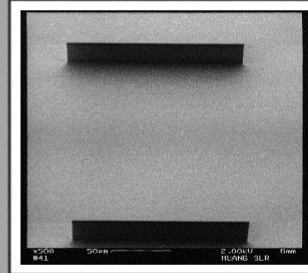
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# SCREAM

## Application Vertical Profile and High Selectivity



500 nm wide beam, 20 μm tall  
Aspect ratio = 40:1



Isolated 500 nm beams

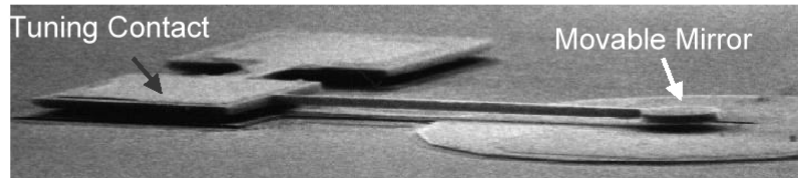
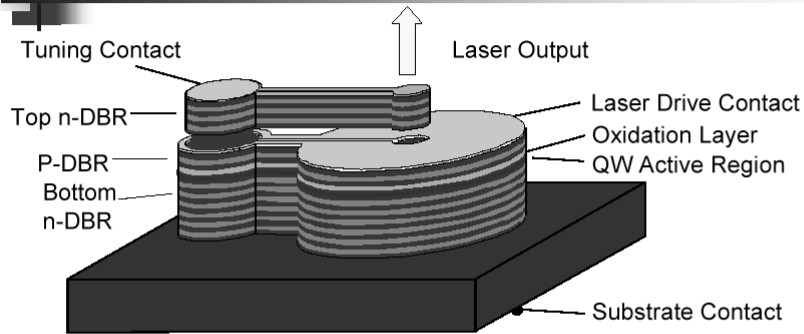
P. Hartwell and N. C. MacDonald

Cornell University

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## Cantilever VCSEL (c-VCSEL)



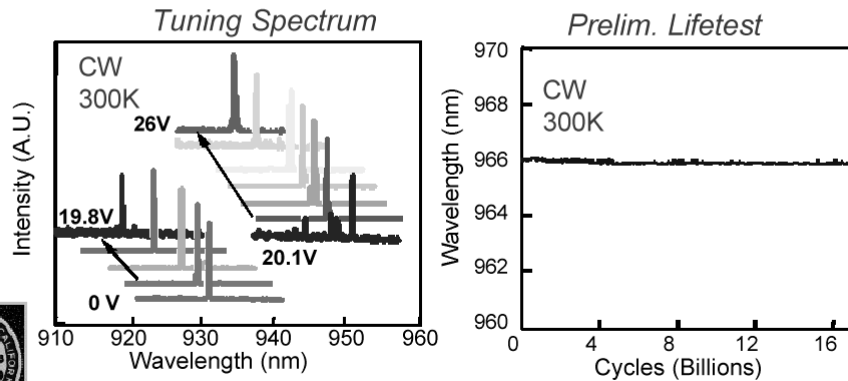
Courtesy Connie Chang-Hasnain

Chang-Hasnain Group



## Record Wide Tunable Laser

- Record 32 nm tuning range
- 1.6 mW output power
- Wavelength remains the same after 16 billion cycles.

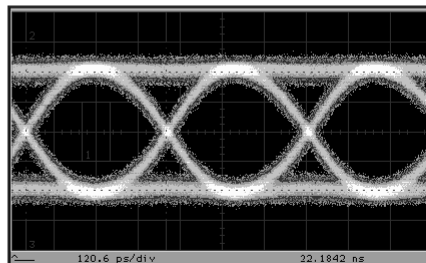
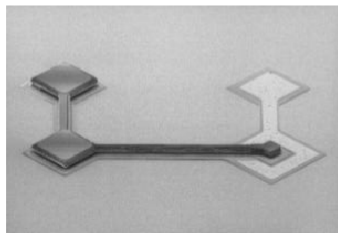


Courtesy Connie Chang-Hasnain

Chang-Hasnain Group

## MEM+VCSEL with Predictable and Repeatable Tuning

- Continuous tuning in full C (35 nm) or L band (40 nm)
- SMSR >35dB throughout tuning range
- Direct modulation at 2.5Gbps
- Open eye diagrams throughout tuning range



BANDWIDTH9

Courtesy Connie Chang-Hasnain

[www.bw9.com](http://www.bw9.com)

# ULTRA-PRECISION MICRO STRUCTURING BY MEANS OF MECHANICAL MACHINING

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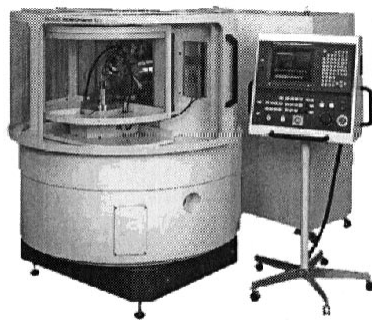
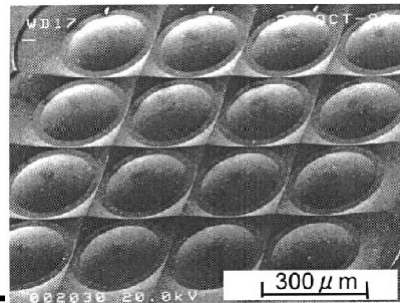
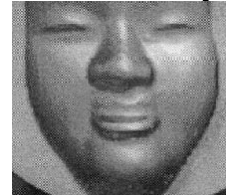


Figure 1. Whole view of FANUC ROBOnano Ui



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## Sub-Micron Stereo Lithography

New Micro Stereo Lithography for Freely Movable 3D Micro Structure  
 -Super IH Process with Submicron Resolution-

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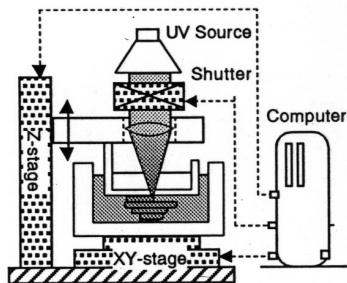


Fig. 1 Schematic diagram of IH Process

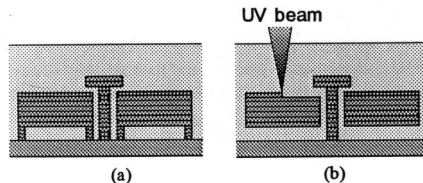


Fig. 5 Process to make movable gear and shaft  
 (a) conventional micro stereo lithography needs base layer  
 (b) new super IH process needs no base

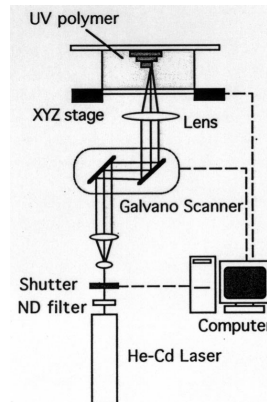


Fig. 6 Schematic diagram of the super IH process

Micro Electro Mechanical Systems  
 Jan., 1998 Heidelberg, Germany

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## Sub-Micron Stereo Lithography

### New Micro Stereo Lithography for Freely Movable 3D Micro Structure -Super IH Process with Submicron Resolution-

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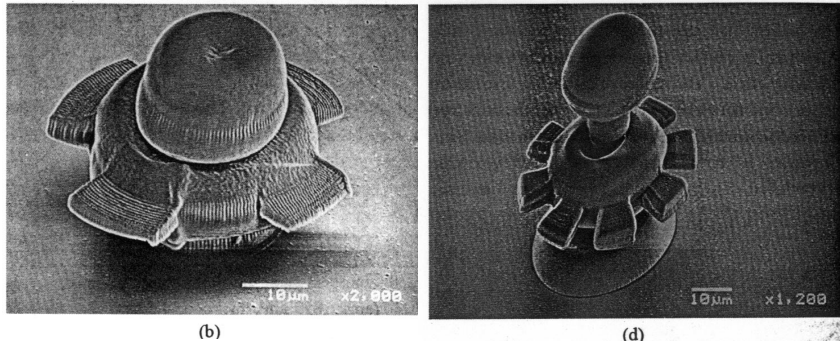


Fig. 10 Micro gear and shaft made of solidified polymer

(b) side view of the gear of four teeth  
(d) side view of the gear of eight teeth

Micro Electro Mechanical Systems  
Jan., 1998 Heidelberg, Germany

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## Combining Microstereolithography and Thick Resist UV Lithography

### Combining Microstereolithography and Thick Resist UV Lithography for 3D Microfabrication

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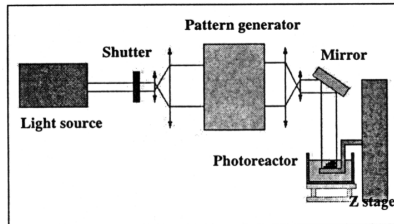


Fig. 1 Diagram of microstereolithography apparatus using a pattern generator.

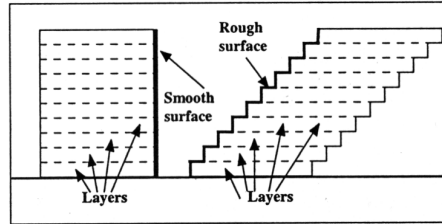


Fig. 2 Influence of the geometry on the surface roughness.

Micro Electro Mechanical Systems  
Jan., 1998 Heidelberg, Germany

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Combining Microstereolithography and Thick Resist UV Lithography

**Combining Microstereolithography and Thick Resist UV Lithography for 3D Microfabrication**

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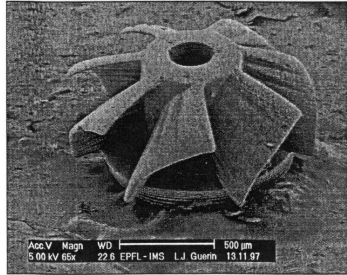


Fig. 4 WEM photograph of a micro-turbine made by microstereolithography.

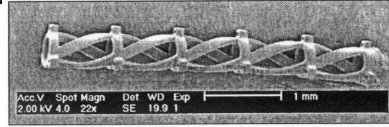


Fig. 5 SEM image of an object made of three imbricated springs. This structure consists of 1000 layers of 5μm each, built along the axis direction.

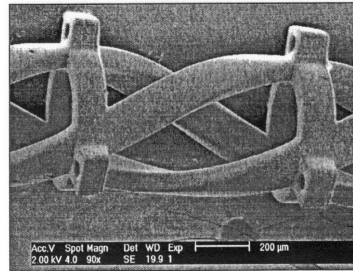


Fig. 6 Enlargement of fig. 5.

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Combining Microstereolithography and Thick Resist UV Lithography

**Combining Microstereolithography and Thick Resist UV Lithography for 3D Microfabrication**

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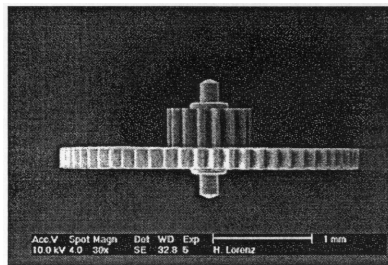


Fig. 11 Plastic injected watch gear, total height: 1.4 mm.

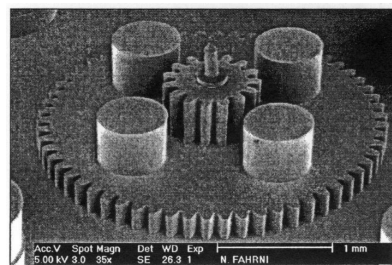


Fig. 15 Two level SU-8 structure with an added axle.

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